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**Joint Department of State/Department of Homeland Security Report:  
Status of the Iraqi Special Immigrant Visa (SIV) Program**

**Introduction**

The Department of State (State), the Department of Homeland Security (DHS), and all other U.S. government departments and agencies involved in the U.S. Special Immigrant Visa (SIV) program have the highest respect for the men and women who take enormous risks in helping our military and civilian personnel. We are committed to helping those who have helped us. The U.S. government has devoted substantial resources to reducing the amount of time required to complete the SIV process, authorized under section 1244 of the Refugee Crisis in Iraq Act of 2008 as amended, while still ensuring thorough screening for national security concerns, and we continue to strive for improvements to the process.

The Department of State's authority to issue SIVs to Iraqi nationals under this SIV program was extended through the National Defense Authorization Act for Fiscal Year (FY) 2014 (Pub. L. No. 113-66), which allocated 2,500 visas for Iraqi principal applicants as of January 1, 2014. Overall, as of November 17, 2015, State has issued 752 of these 2,500 SIVs to Iraqi principal applicants who were employed by or on behalf of the U.S. government in Iraq. This program will continue until all visas have been issued or all qualified applicants, if less than the number of visas allocated, have received visas.

As of September 30, 2015, we have issued 335 SIVs to Iraqi principal applicants and 845 SIVs to their family members in FY 2015. SIV issuance numbers through the fourth quarter of FY 2015 are available on [travel.state.gov](http://travel.state.gov).

**Average Wait Times for Each Step of the SIV Application Process for Visas that Have Been Issued**

All steps in the SIV application process are outlined below, and include the current average processing time spent by all U.S. government entities. This statistic captures total U.S. government processing time, beginning with the applicant's initial submission of documents to State's National Visa Center (NVC) and ending with the date of visa issuance at Embassy Baghdad. It does not capture those steps in the SIV process that are solely dependent on the applicant's initiative and are outside the control of the U.S. government. SIV applications move

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through 14 steps, in the following four stages: Chief of Mission (COM) Application Process; Form I-360 Adjudication; Visa Interview; and Visa Issuance.

<b>Special Immigrant Visa (SIV) Processing Steps<sup>1</sup></b>			
<b>Stage</b>	<b>Step</b>	<b>Description</b>	<b>Current average processing times for Iraqi cases applying in Baghdad (business days)</b>
Chief of Mission (COM) application process	1	Applicant submits COM application package to State's NVC.	Applicant-controlled
	2	NVC reviews documents for completeness.	1
	3	NVC sends completed COM package to U.S. Embassy Baghdad.	1
	4	U.S. Embassy Baghdad reviews COM application and makes a decision to approve or deny.	30 (if all required documents are present)
	5	U.S. Embassy Baghdad advises NVC if COM application is approved. NVC immediately sends approval letter to applicant. (If any documents reveal that the applicant does not qualify for the program, the COM application is denied.)	5
Form I-360 adjudication process	6	Applicant self-petitions to DHS U.S. Citizenship and Immigration Services (USCIS) using form I-360.	Applicant-controlled
	7	USCIS adjudicates petition and sends to NVC if approved. <sup>2</sup>	15

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Visa Interview Process, including prior to and after interview <sup>3</sup>	8	NVC sends instruction packet to applicant requesting standard immigrant visa documentation.	10
	9	Applicant submits required documentation to NVC.	Applicant-controlled
	10	NVC reviews documents for completeness.	17
	11	U.S. Embassy Baghdad schedules applicant for next available interview at U.S. Embassy Baghdad.	30
	12	Applicant is interviewed by consular officer on the scheduled appointment date. Administrative processing is initiated following the interview.	1
	13	The applicant's case undergoes administrative processing. <sup>4</sup>	201
Visa issuance to eligible applicants	14	Upon completion of administrative processing, the applicant is instructed to obtain a medical exam. The visa is issued if the applicant is eligible. In some cases, the passport will have expired and requires renewal by the applicant.	Applicant-controlled
<b>Total U.S. government processing time<sup>5</sup></b>			<b>311</b>
<sup>1</sup> Processing steps are for SQ SIVs.			
<sup>2</sup> Based on NVC data.			
<sup>3</sup> The majority of applicants receive SIV status by going through the process explained in this chart. Applicants who obtain SIV status in the United States apply for <a href="#">adjustment of status</a> from USCIS.			
<sup>4</sup> Line 13 totals include data for principal applicant cases issued from October 1, 2014 through September 30, 2015. Processing time for cases that remain pending cannot be calculated without a completion date.			
<sup>5</sup> U.S. government processing times do not factor in applicant-controlled steps. Overall processing times are greater than U.S. government processing times.			

## Applications Pending Longer Than Nine Months

Even if an applicant has acted promptly in each of the applicant-controlled steps that precede step 13 of the SIV application process, applications may be pending longer than nine months for completion of administrative processing (step 13 of the SIV application process). Although step 13 is lengthy, process enhancements have resulted in improved efficiency.

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### **Applications Pending at Each Stage of the SIV Application Process**

As of November 20, 2015, the following numbers of Iraqi applicants are pending in one of the application stages:

- 42 principal applicants have COM applications pending at the NVC (Step 1). These applicants have submitted some, but not all, of the documents required to apply for COM approval, and this figure reflects the number of applicants who have taken action on their applications for COM approval within the past 120 days. COM applications with all required documents are sent to Embassy Baghdad within one business day. The deadline for Iraqis to apply for COM approval was September 30, 2014.
- 138 principal applicants have Form I-360 petitions pending with USCIS (Step 7).
- 57 principal SIV applicants and 160 family members currently are scheduled for visa interviews (Step 11). Interviews are scheduled approximately 30 days in advance. Applicants utilize this time to gather any remaining documents required for their interviews and prepare for travel to the U.S. embassy or consulate. Most Iraqi applicants will be interviewed at Embassy Baghdad, as they reside in Iraq. Applicants who reside outside of Iraq will be interviewed at the U.S. embassy or consulate that adjudicates immigrant visa applications for their countries of residence.
- Applications for 497 principal applicants and 237 family members are undergoing administrative processing (Step 13).

### **Number of SIV Applicants in Fourth Quarter of FY 2015**

The following chart shows the number of Iraqi applicants who applied for SIVs in the fourth quarter of FY 2015 under section 1244 of the Refugee Crisis in Iraq Act of 2008, as amended, subsequent to receiving COM approval:

Month	Principal Applicants	Family Members	Total
July	72	179	251

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August	132	350	<b>482</b>
September	99	245	<b>344</b>
<b>Total</b>	<b>303</b>	<b>774</b>	<b>1,077</b>

**Applications Denied or Pending at Each Stage of the SIV Application Process**

At the end of the fourth quarter of FY 2015 on September 30, 2015, the following numbers of applications had been denied or were pending at one of the application stages:

- 1,332 Iraqi principal applicants did not qualify to receive COM approval or had their approval revoked during the fourth quarter of FY 2015. As the deadline to submit an application for COM approval was September 30, 2014, no Iraqi principal applicants submitted applications for COM approval during the fourth quarter of FY 2015. Applications submitted prior to September 30, 2014, where applicants have taken action on their applications for COM approval within the past 120 days will be reviewed after the applicant has provided all required documents. Applicants who have not taken action in the past 120 days were contacted to provide the remaining required documents by June 30, 2015. These applications will be approved or denied on the basis of the documents that the applicant has provided. Applications of individuals who do not demonstrate that they qualify will be denied, but they will have the option to submit one appeal within 120 days of receiving the denial letter.
- Five principal applicants had their Form I-360 petitions denied by USCIS during the fourth quarter of FY 2015.
- As of September 30, 2015, applications for 876 principal applicants and 247 family members were undergoing administrative processing.

**Reasons for COM Denial**

As reflected in denial letters sent by the COM designee at Embassy Baghdad, denial of a COM application generally occurs for one or more of the following four reasons:

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- *Failure to establish employment by or on behalf of the U.S. government.* State has considered the employment requirement satisfied for an alien hired under a direct-hire appointment, or an agency's personal services agreement or personal services contract authority. State has also considered Iraqi nationals hired by and paid through a U.S. government contractor or subcontractor to meet the broader criteria for employment "by or on behalf of" the U.S. government. State has not considered the requirement under 1244 to be "employed by or on behalf of the United States government" satisfied in other situations, such as individuals employed by an entity funded by a grant or cooperative agreement with the U.S. government, or self-employed businesspersons who operate under a license with the U.S. government.
- *Failure to establish at least one year of employment by or on behalf of the U.S. government between March 20, 2003 and September 30, 2013.*
- *Failure to establish providing of faithful and valuable service to the U.S. government.* Applications denied for this reason generally have involved cases lacking the requisite positive recommendation or evaluation. In some instances where faithful and valuable service was not confirmed, employment by or on behalf of the U.S. government had been terminated for cause.
- *Derogatory information associated with the applicant that is incompatible with the requirements of the SIV program.* This reason for denial generally relates to information that the applicant engaged in an unlawful, unethical, criminal, or terrorism-related activity.